

Circular Coinduction in Coq using Bisimulation-Up-To Techniques

JÖRG ENDRULLIS DIMITRI HENDRIKS MARTIN BODIN

VU UNIVERSITY AMSTERDAM, INRIA RENNES and ENS LYON

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Motivation

- Tools proving equalities on streams: CIRC, STREAMBOX, ...
- They use *rewriting* circular proofs.

```
Variables (A : stream bit) (f : stream bit → stream bit).
```

```
Hypothesis hyp_A : A == zero :: one :: A.
```

```
Hypothesis hyp_f_0 : ∀s, f (zero :: s) == zero :: one :: f s.
```

```
Hypothesis hyp_f_1 : ∀s, f (one :: s) == f s.
```

```
Lemma A_bis_f_A : A == f A.
```

```
Proof.
```

```
cofix CIH. constructor.
```

```
rewrite hyp_A; rewrite hyp_f_0; simpl.
```

```
(* ... *)
```

```
reflexivity.
```

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```

```
(* ... *)
```

```
reflexivity.
```

No more subgoals.

Motivation

- Tools proving equalities on streams: CIRC, STREAMBOX, ...
- They use *rewriting* circular proofs.

But those proofs aren't directly accepted by Coq!

```
Error:
Recursive definition of CIH is ill-formed.
In environment
(* ... *)
CIH : A == f A
Sub-expression "(fun H : tail (tail (zero :: one :: A)) == tail (tail (f A)) =>
                Morphisms.trans_co_eq_inv_impl_morphism
                (* ... *)
                (hyp_f_1 A) H) (reflexivity (f A))))" not in
guarded form (should be a constructor, an abstraction, a match, a cofix or a
recursive call).
```

```
reflexivity.
```

```
Qed.
```

- 1 About Guardedness
- 2 Bisimilarity Proofs
 - Coinduction Loading
- 3 Bisimulation-up-to
- 4 Dealing with Contexts
 - Coinduction and Equational Reasoning

Coinduction and Guardedness

Induction

Enforce termination.

```
Inductive list :=  
  | nil : list  
  | cons : bool → list → list.
```

```
Fixpoint f (l : list) : list :=  
  match l with  
  | nil ⇒ nil  
  | cons b l' ⇒ rev (f l')  
  end.
```

Coinduction

Enforce productivity.

```
CoInductive stream :=  
  | cons : bool → stream → stream.
```

```
CoFixpoint f (s : stream) : stream :=  
  match s with  
  | cons b s' ⇒ cons (neg b) (f (zip s' s))  
  end.
```

Coinduction and Guardedness

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Inductive list :=  
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  end.
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Guardedness and Productivity

| | specification | guarded | productive |
|--------------|---|---------|------------|
| $ones$ | $= 1 :: ones$ | ✓ | ✓ |
| $read(s)$ | $= hd\ s :: read(tl\ s)$ | ✓ | ✓ |
| $plus(s, t)$ | $= (hd\ s + hd\ t) :: plus(tl\ s, tl\ t)$ | ✓ | ✓ |

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| J | $= 0 :: tl\ J$ | ✗ | ✗ |

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$$J_1 = 0 :: 0 :: 0 :: 0 :: 0 :: 0 :: 0 :: 0 :: \dots$$

$$J_2 = 0 :: 1 :: 1 :: 1 :: 1 :: 1 :: 1 :: 1 :: \dots$$

$$J_3 = 0 :: 1 :: 1 :: 0 :: 1 :: 1 :: 0 :: \dots$$

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| J | $= 0 :: tl\ J$ | ✗ | ✗ |
| $twos$ | $= 2 :: read(twos)$ | ✗ | ✓ |
| $nats$ | $= 0 :: plus(nats, ones)$ | ✗ | ✓ |

$$J_1 = 0 :: 0 :: 0 :: 0 :: 0 :: 0 :: 0 :: 0 :: \dots$$

$$J_2 = 0 :: 1 :: 1 :: 1 :: 1 :: 1 :: 1 :: 1 :: \dots$$

$$J_3 = 0 :: 1 :: 1 :: 0 :: 1 :: 1 :: 0 :: \dots$$

Guardedness and Productivity

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| J | $= 0 :: tl\ J$ | ✗ | ✗ |
| $twos$ | $= 2 :: read(twos)$ | ✗ | ✓ |
| $nats$ | $= 0 :: plus(nats, ones)$ | ✗ | ✓ |
| $from(n)$ | $= n :: from(n + 1)$ | ✓ | ✓ |
| $nats$ | $= from(0)$ | | ✓ |

Idea

Hide the computation of the next stream element in an argument.

- In COQ, \sim is defined coinductively by the rule

$$\frac{\pi_0 : \text{hd } s = \text{hd } t \quad \pi' : \text{tl } s \sim \text{tl } t}{\sim^+ \pi_0 \pi' : s \sim t} \sim^+$$

- \sim is the largest relation reversely closed under \sim^+ ,
 \sim is the largest bisimulation
- A proof of $s \sim t$ can be viewed as an infinite sequence

$$\sim^+ \pi_0 (\sim^+ \pi_1 (\sim^+ \pi_2 (\sim^+ \dots)))$$

- In Coq, \sim is defined **coinductively** by the rule

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- A proof of $s \sim t$ can be viewed as an infinite sequence

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Some Productive Proofs are not Guarded

Define $Z_1 = 0 :: Z_2$ and $Z_2 = 0 :: Z_1$. Show $Z_1 \sim Z_2$.

$$\begin{array}{c}
 \text{REFL}_= \frac{\text{REFL}_= \frac{\overline{0 = 0} \quad \overline{Z_1 \sim Z_2} \pi}{0 = 0 \quad 0 :: Z_1 \sim 0 :: Z_2} \sim^+}{0 :: 0 :: Z_1 \sim 0 :: 0 :: Z_2} \sim^+}{Z_1 \sim Z_2} \text{COFIX } \pi
 \end{array}
 \qquad
 \begin{array}{c}
 \text{REFL}_= \frac{\text{REFL}_= \frac{\overline{0 = 0} \quad \overline{Z_1 \sim Z_2} \pi}{0 = 0 \quad Z_2 \sim Z_1} \text{SYM}_\sim \sim^+}{0 :: Z_2 \sim 0 :: Z_1} \sim^+}{Z_1 \sim Z_2} \text{COFIX } \pi
 \end{array}$$

| proof term | guarded | productive (correct) |
|---|---------|----------------------|
| $\pi = \sim^+ (\text{refl}_= 0) (\sim^+ (\text{refl}_= 0) \pi)$ | ✓ | ✓ |
| $\pi = \sim^+ (\text{refl}_= 0) (\text{sym}_\sim \pi)$ | ✗ | ✓ |

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| proof term | guarded | productive (correct) |
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Guardedness and Rewritings

Prove $A \sim fA$ where Γ consists of

$$A \sim 0 :: 1 :: A$$

$$f(0 :: \sigma) \sim 0 :: 1 :: f\sigma$$

$$f(1 :: \sigma) \sim f\sigma$$

$$\overline{A \sim fA}$$

as a proof term:

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$$f(0 :: \sigma) \sim 0 :: 1 :: f\sigma$$

$$f(1 :: \sigma) \sim f\sigma$$

$$\frac{}{A \sim fA} \text{COFIX } \pi$$

as a proof term:

`cofix π . (...)`

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$$f(1 :: \sigma) \sim f\sigma$$

$$\frac{\frac{\text{hd } A = \text{hd}(fA) \mid \pi_1}{\text{tl } A \sim \text{tl}(fA)} \sim^+}{\frac{A \sim fA}{A \sim fA} \text{COFIX } \pi} \sim^+$$

as a proof term:

$\text{cofix } \pi. (\sim^+ \pi_1 \dots)$

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$$f(0 :: \sigma) \sim 0 :: 1 :: f\sigma$$

$$f(1 :: \sigma) \sim f\sigma$$

$$\frac{\frac{\frac{\text{hd } A = \text{hd}(fA) \parallel \pi_1}{\text{hd}(\text{tl } A) = \text{hd}(\text{tl}(fA)) \parallel \pi_2}}{\text{tl } A \sim \text{tl}(fA)} \sim^+}{A \sim fA} \sim^+ \text{COFIX } \pi$$

as a proof term:

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as a proof term:

$\text{cofix } \pi. (\sim^+ \pi_1 (\sim^+ \pi_2 (\text{trans } \pi_3 \dots)))$

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as a proof term:

$\text{cofix } \pi. (\sim^+ \pi_1 (\sim^+ \pi_2 (\text{trans } \pi_3 (\text{trans } \dots \pi_4))))$

Guardedness and Rewritings

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$$\begin{array}{c}
 A \sim 0 :: 1 :: A \qquad f(0 :: \sigma) \sim 0 :: 1 :: f\sigma \\
 f(1 :: \sigma) \sim f\sigma \\
 \\
 \frac{\frac{\frac{\frac{\frac{\text{hd } A = \text{hd}(fA) \parallel \bar{\pi}_1}{\text{hd}(\text{tl } A) = \text{hd}(\text{tl}(fA)) \parallel \bar{\pi}_2}}{\text{tl}(\text{tl } A) \sim A} \quad \frac{A \sim fA}{A \sim \text{tl}(\text{tl}(fA))} \quad \frac{fA \sim \text{tl}(\text{tl}(fA))}{A \sim \text{tl}(\text{tl}(fA))} \quad \pi_4}{\text{tl}(\text{tl } A) \sim \text{tl}(\text{tl}(fA))} \quad \pi_3}{\text{tl } A \sim \text{tl}(fA)} \quad \text{TRANS}}{\text{tl } A \sim \text{tl}(fA)} \quad \sim^+}{A \sim fA} \quad \sim^+}{A \sim fA} \quad \text{COFIX } \pi
 \end{array}$$

as a proof term:

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$$\begin{array}{c}
 \text{hd } A = \text{hd}(fA) \parallel \bar{\pi}_2 \\
 \text{hd}(tl A) = \text{hd}(tl(fA)) \parallel \bar{\pi}_2 \\
 \hline
 \frac{\text{hd}(tl A) \sim \text{hd}(tl(fA)) \parallel \bar{\pi}_2}{tl A \sim tl(fA)} \sim^+ \\
 \hline
 \frac{tl A \sim tl(fA)}{A \sim fA} \sim^+ \\
 \hline
 \frac{A \sim fA}{A \sim fA} \text{COFIX } \pi \\
 \hline
 \frac{\frac{\frac{tl(tl A) \sim A}{tl(tl A) \sim tl(tl(fA))} \text{TRANS}}{A \sim tl(tl(fA))} \text{TRANS}}{A \sim fA} \text{TRANS} \\
 \hline
 \frac{A \sim fA}{fA \sim tl(tl(fA))} \pi \\
 \hline
 \frac{fA \sim tl(tl(fA))}{A \sim fA} \text{TRANS} \\
 \hline
 \frac{A \sim fA}{A \sim fA} \text{COFIX } \pi
 \end{array}$$

obstruct guardedness!

as a proof term:

$$\text{cofix } \pi. (\sim^+ \pi_1 (\sim^+ \pi_2 (\text{trans } \pi_3 (\text{trans } \pi \pi_4))))$$

Guardedness and Rewritings

Remember this?

$$\begin{array}{llll} \mathit{nats} & = & 0 :: \mathit{nats} + \mathit{ones} & \text{⚡} \quad \checkmark \\ \mathit{from}(n) & = & n :: \mathit{from}(n + 1) & \checkmark \quad \checkmark \\ \mathit{nats} & = & \mathit{from}(0) & \checkmark \end{array}$$

- Hide equational reasoning in the arguments!
- Prove $s \sim t$ by showing the equivalent

$$\forall uv. (u \sim s \Rightarrow t \sim v \Rightarrow u \sim v)$$

- New rule:

$$\frac{\forall uv. (u \sim s \Rightarrow t \sim v \Rightarrow u \sim v)}{s \sim t} \text{LOAD}$$

Remember this?

$$\begin{array}{llll} \mathit{nats} & = & 0 :: \mathit{nats} + \mathit{ones} & \not\checkmark \quad \checkmark \\ \mathit{from}(n) & = & n :: \mathit{from}(n + 1) & \checkmark \quad \checkmark \\ \mathit{nats} & = & \mathit{from}(0) & \checkmark \end{array}$$

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Guardedness and Rewritings

$$\begin{array}{c}
 \text{hd } u = \text{hd } v \quad \bar{\pi} \\
 \hline
 \frac{\text{hd}(\text{tl } u) = \text{hd}(\text{tl } v) \quad \bar{\pi}_2}{\text{tl}(\text{tl } u) \sim A \Rightarrow fA \sim \text{tl}(\text{tl } v) \Rightarrow \text{tl}(\text{tl } u) \sim \text{tl}(\text{tl } v)} \quad \pi \quad \vdots \quad \text{TRANS} \quad \frac{\vdots}{\text{tl}(\text{tl } u) \sim A} \quad \text{TRANS} \quad \frac{\vdots}{fA \sim \text{tl}(\text{tl } v)} \quad \text{TRANS} \\
 \hline
 \frac{\text{tl}(\text{tl } u) \sim \text{tl}(\text{tl } v)}{\text{tl } u \sim \text{tl } v} \quad \forall^- \Rightarrow^- \\
 \hline
 \frac{\text{tl } u \sim \text{tl } v}{u \sim v} \quad \sim^+ \\
 \hline
 \frac{u \sim v}{\forall uv. (u \sim A \Rightarrow fA \sim v \Rightarrow u \sim v)} \quad \forall^+ \Rightarrow^+ \\
 \hline
 \frac{\forall uv. (u \sim A \Rightarrow fA \sim v \Rightarrow u \sim v)}{A \sim fA} \quad \text{COFIX } \pi \\
 \hline
 \text{LOAD}
 \end{array}$$

load A (fA) (cofix π . ($\lambda uv\rho_u\rho_v$. ($\sim^+ \pi_1 (\sim^+ \pi_2 (\pi (\text{tl}(\text{tl } u)) (\text{tl}(\text{tl } v)) \dots \pi_3 \pi_4))))))$

Guardedness and Rewritings

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 \hline
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 \hline
 \frac{u \sim v}{\forall uv. (u \sim A \Rightarrow fA \sim v \Rightarrow u \sim v)} \quad \forall^+ \Rightarrow^+ \\
 \hline
 \frac{\forall uv. (u \sim A \Rightarrow fA \sim v \Rightarrow u \sim v)}{\forall uv. (u \sim A \Rightarrow fA \sim v \Rightarrow u \sim v)} \quad \text{COFIX } \pi \\
 \hline
 \frac{\forall uv. (u \sim A \Rightarrow fA \sim v \Rightarrow u \sim v)}{A \sim fA} \quad \text{LOAD}
 \end{array}$$

load A (fA) (cofix π . ($\lambda uv\rho_u\rho_v$. ($\sim^+ \pi_1 (\sim^+ \pi_2 (\pi (\text{tl}(\text{tl } u)) (\text{tl}(\text{tl } v)) \dots \pi_3 \pi_4))))))$)

Guardedness and Rewritings

$$\begin{array}{c}
 \text{hd } u = \text{hd } v, \bar{\pi} \\
 \hline
 \frac{\text{hd}(\text{tl } u) = \text{hd}(\text{tl } v), \bar{\pi}_2}{\text{tl}(\text{tl } u) \sim A \Rightarrow fA \sim \text{tl}(\text{tl } v) \Rightarrow \text{tl}(\text{tl } u) \sim \text{tl}(\text{tl } v)} \pi \quad \frac{\vdots}{\text{tl}(\text{tl } u) \sim A} \text{TRANS} \quad \frac{\vdots}{fA \sim \text{tl}(\text{tl } v)} \text{TRANS} \\
 \hline
 \frac{\text{tl}(\text{tl } u) \sim \text{tl}(\text{tl } v)}{\text{tl } u \sim \text{tl } v} \forall^- \Rightarrow^- \\
 \hline
 \frac{\text{tl } u \sim \text{tl } v}{u \sim v} \sim^+ \\
 \hline
 \frac{u \sim v}{\forall uv. (u \sim A \Rightarrow fA \sim v \Rightarrow u \sim v)} \forall^+ \Rightarrow^+ \\
 \hline
 \frac{\forall uv. (u \sim A \Rightarrow fA \sim v \Rightarrow u \sim v)}{A \sim fA} \text{COFIX } \pi \\
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 \text{LOAD}
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load $A (fA) (\text{cofix } \pi. (\lambda uv\rho_u\rho_v. (\sim^+ \pi_1 (\sim^+ \pi_2 (\pi (\text{tl}(\text{tl } u)) (\text{tl}(\text{tl } v)) \dots \pi_3 \pi_4))))))$

- We only know about u and v what we needed to know in the previous proof.

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$$\begin{array}{c}
 \text{hd } A = \text{hd } (fA) \text{ || } \exists \\
 \text{hd } (tl A) = \text{hd } (tl (fA)) \text{ || } \exists \\
 \frac{\frac{\frac{\frac{\pi_3}{tl (tl A) \sim A} \quad \frac{\frac{\pi_4}{fA \sim tl (tl (fA))}}{A \sim tl (tl (fA))} \text{TRANS}}{A \sim fA} \text{TRANS}}{tl (tl A) \sim tl (tl (fA))} \sim^+}{tl A \sim tl (fA)} \sim^+}{\frac{A \sim fA}{A \sim fA} \text{COFIX } \pi} \sim^+
 \end{array}$$

What we did in the original proof

- Rewrite the left term to A .
- Rewrite the right term to fA .

What we loaded

$$\forall uv. (u \sim A \Rightarrow fA \sim v \Rightarrow u \sim v)$$

Idea from process algebra (MILNER, SANGIORGI):

- Instead of proving $s \sim t$, define a relation R such that $s R t$ and prove $R \subseteq \sim$.
- Instead of proving $R \subseteq \sim$, let's prove $\mathcal{F}(R) \subseteq \sim$.

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Definition

$$\mathcal{F}(R) ::= R \mid \sim \mid \underbrace{\mathcal{F}(R)^{-1}}_{\text{Symmetry}} \mid \underbrace{\mathcal{F}(R)\mathcal{F}(R)}_{\text{Transitivity}}$$

Bisimulation-up-to

We want to prove $\mathcal{F}(R) \subseteq \sim$.

Definition

R progresses to R' $\iff s R t \Rightarrow s(0) = t(0) \wedge s' R' t'$

R is a *bisimulation-up-to* \mathcal{F} if R progresses to $\mathcal{F}(R)$.

Theorem (meta)

If R is a *bisimulation-up-to* \mathcal{F} , then $\mathcal{F}(R)$ is a bisimulation.

The loading technique is an instance of this, using $\sim R \sim \subseteq \mathcal{F}(R)$.

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What About Rewriting Under a Context?

How does a rule like

$$\frac{\pi : s \sim t}{C[\pi] : C[s] \sim C[t]} \text{CONTEXT}$$

combine with coinduction?

- Taking $C = \text{tl} \square$ is *not* productive.
- When is $\pi = \sim^+ (\text{refl} = 0) (\dots C[\pi] \dots) : s \sim t$ productive?
- When C is **causal**, this is correct.
Moreover, then $X = 0 :: C[X]$ is productive.

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s and t are bisimilar up to depth n :

$$s \sim_n t \iff \forall k < n. s(k) = t(k)$$

Definition

A stream function $f: A^\omega \rightarrow B^\omega$ is *causal* if

$$s \sim_n t \implies fs \sim_n ft$$

for all $s, t \in A^\omega$ and $n \in \mathbb{N}$.

Let Γ be a set of equations. A *stream context* C is *causal* if $\llbracket C, \alpha \rrbracket_{\mathcal{A}}$ is causal for all models \mathcal{A} of Γ , and assignments $\alpha: \mathcal{X} \rightarrow A$.

We Can Extend the Theorem

We can add causal context to $\mathcal{F}(R)$

$$\mathcal{F}(R) ::= R \mid \sim \mid C[\mathcal{F}(R)] \mid \mathcal{F}(R)^{-1} \mid \mathcal{F}(R)\mathcal{F}(R)$$

with C causal context.

R is a *bisimulation-up-to* if R progresses to $\mathcal{F}(R)$.

And the theorem holds

If R is a bisimulation-up-to, then $\mathcal{F}(R)$ is a bisimulation.

This Proves the Soundness of This System

- Γ, Δ sets of equations, Δ is the set of coinduction hypotheses.

Equational Reasoning

$$\overline{\Gamma, \Delta \vdash C[s^\sigma] \sim C[t^\sigma]} \text{ if } s \sim t \in \Gamma$$

$$\frac{}{\Gamma, \Delta \vdash s \sim s} \quad \frac{\Gamma, \Delta \vdash t \sim s}{\Gamma, \Delta \vdash s \sim t} \quad \frac{\Gamma, \Delta \vdash s \sim u \quad \Gamma, \Delta \vdash u \sim t}{\Gamma, \Delta \vdash s \sim t}$$

Coinduction

$$\overline{\Gamma, \Delta \vdash C[s^\sigma] \sim C[t^\sigma]} \text{ if } s \sim t \in \Delta \text{ and } C \text{ is causal}$$

$$\frac{\Gamma, \emptyset \vdash \text{hd } s = \text{hd } t \quad \Gamma, \Delta \cup \{s \sim t\} \vdash \text{tl } s \sim \text{tl } t}{\Gamma, \Delta \vdash s \sim t} \text{ coin}$$

- NB. without causality $\emptyset, \{s \sim t\} \vdash \text{tl } s \sim \text{tl } t$ can always be derived!

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- We defined a system of axioms, mixing equational and corecursive reasoning.
- We proved this system sound.
- There is a systematic way to convert a proof in this system to a proof accepted by COQ.
- We provide a HASKELL implementation:
`http://www.cs.vu.nl/~diem/research/up_to.tgz`
- This can easily be generalised to other coinductive structures.

Conclusion

- We defined a system of axioms, mixing equational and corecursive reasoning.
- We proved this system sound.
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- We provide a HASKELL implementation:
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Thank you for listening!

$even\ s = hds :: even\ (tl\ (tl\ s))$

$\forall st, s \sim t \implies even\ s \sim even\ t$


```
import Prelude hiding (head, tail, Left, Right, flip, id)
import qualified Data.Map as Map
import Lang

zeros1 = Fun "zeros1" []
zeros2 = Fun "zeros2" []

env :: Environment
env = (
  Map.fromList [
    ("zeros1", ([], Stream, False)),
    ("zeros2", ([], Stream, False))
  ],
  hypFromList [
    ("hyp_zeros1", (zeros1, cons zero zeros1, Stream)),
    ("hyp_zeros2", (zeros2, cons zero zeros2, Stream))
  ]
)

lemma = ("zeros1_eq_zero2", proof,
  (zeros1, zeros2, Stream))
```

```

proof :: BisProof
proof = Cofix "F" (Eq2Bis e1) (Eq2Bis h1)

-- e1 = ...

h1 = Transitivity step1 h2
step1 = (Step "hyp_zeros1" Right (CFun "tail" [] Hole []) Map.empty)

h2 = Transitivity step2 h3
step2 = (Step "hyp_tail" Right Hole (Map.fromList [("x", zero), ("σ", zeros1)]))

h3 = Transitivity step3 h4
step3 = (Step "F" Right Hole Map.empty)

h4 = Transitivity step4 h5
step4 = (Step "hyp_tail" Left Hole (Map.fromList [("x", zero), ("σ", zeros2)]))

h5 = Transitivity step5 h6
step5 = (Step "hyp_zeros2" Left (CFun "tail" [] Hole []) Map.empty)

h6 = Reflexivity

```

- 1 About Guardedness
- 2 Bisimilarity Proofs
 - Coinduction Loading
- 3 Bisimulation-up-to
- 4 Dealing with Contexts
 - Coinduction and Equational Reasoning